

09/25/03

17414 U.S. PTO

Express Mail Label No. EV260608978US

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. RIB-007

First Named Inventor Wang

Title

BIFUNCTIONAL HETEROCYCLIC COMPOUNDS  
AND METHODS OF MAKING AND USING SAME

## APPLICATION ELEMENTS

ADDRESS TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-14501. ☐ Fee Transmittal Form2. ☒ Small Entity Status☒ Applicant claims small entity status☐ Status established in prior application and is still  
proper and desired3. ☒ Specification and Drawings [Total Pages 354]

- Written Description - (327 pages)

- Claims - (26 pages)

- Abstract - (1 page)

- Sheets of Drawings - (0 sheets)

☐ Formal☐ Informal4. ☐ Oath or Declaration [Total Pages ]a. ☐ Newly executed (original)b. ☐ Copy from a prior application (37 CFR

1.63(d))

(for continuation/divisional with Box 17 completed)

[Note Box 5 below]

5. ☐ Incorporation by Reference (usable if Box 4b is checked)The entire Disclosure of the prior application, from  
which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the  
disclosure of the accompanying application and is  
hereby incorporated by reference therein.6. ☒ Application Data Sheet7. ☐ Nucleotide and/or Amino Acid Sequence Submission☐ Computer Readable Form (CRF)☐ Paper Copy (identical to computer copy)☐ CD (2 copies) (identical to computer copy)☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney9. ☐ English Translation Document (if applicable)10. ☐ Information Disclosure Statement (IDS)/PTO-1449☐ Copies of IDS Citations11. ☐ Preliminary Amendment☐ Drawings [Total Sheets ]12. ☒ Return Receipt Postcard (specifically itemized)13. ☐ Certified Copy of Priority Document(s) (if foreign priority  
claimed)14. ☐ Nonpublication Request Under 35 U.S.C. 122(b)15. ☐ CD in duplicate for large table or computer program16. ☐ Other:17. ☐ If a CONTINUING APPLICATION: Amend the specification by inserting on page 1, before the first line, the sentence:  
--This is a☐ continuation ☐ divisional ☐ continuation-in-part of prior application Serial No. / , filed on , , the  
entire disclosure of which is incorporated by reference herein.--

Priority to the above application(s) is claimed under 35 U.S.C. 120.

Prior application information: Examiner: . Group/Art Unit: .

18. ☒ Priority - 35 U.S.C. 119☒ Priority of application Serial No. 60/414,207 filed September 26, 2002 and 60/448,216 filed February 19, 2003 is  
claimed under 35 U.S.C. 119.☐ The certified copy has been filed in prior U.S. application Serial No. / on .☐ The certified copy will follow.

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100  
Customer No. 021323

## SIGNATURE BLOCK

Date: September 25, 2003  
Reg. No. 38,678  
Tel. No.: (617) 248-7317  
Fax No.: (617) 248-7100

Respectfully submitted,

D.A. Greenhalgh  
Duncan A. Greenhalgh  
Attorney for Applicants  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110